

# Preparing the NIH Protection of Human Subjects Section and an Overview of NIH's New Policies

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**National Institutes of Health**  
*Office of Extramural Research*

# Goals

- Learn how to complete the protection of human subjects section of the NIH Human Subjects and Clinical Trials Information Form
- Understand how NIH evaluates human subjects and inclusion in grant applications
- Identify the requirements for research involving human subjects for NIH awards
- Understand new NIH policies regarding human subjects research and how they relate to your application



## **Have you conducted human subjects research?**

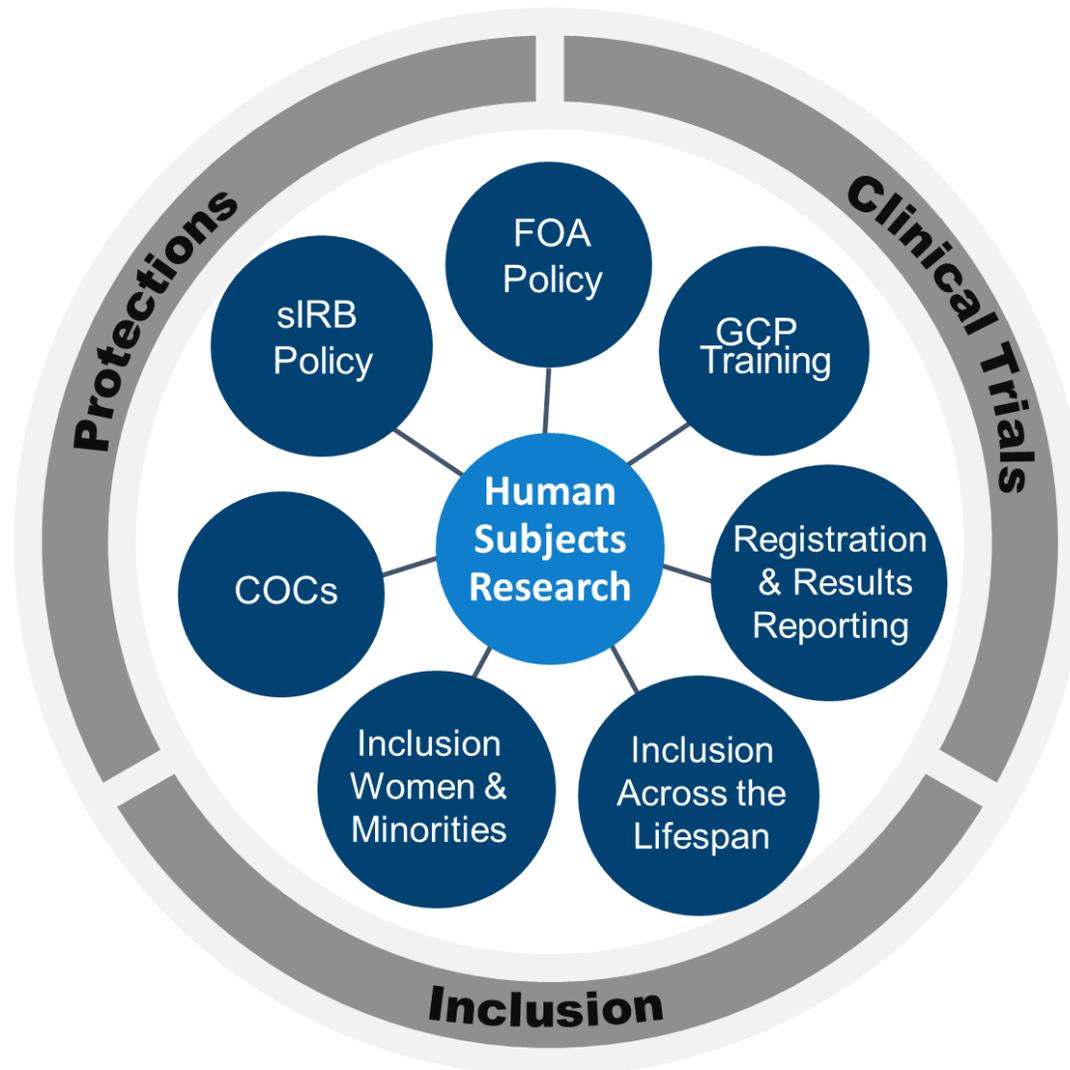
- A. Yes, I am conducting or have conducted HS research.
- B. No, but I plan to.
- C. No, but I provide oversight of human subjects research at my institution
- D. I'm not sure.

# NIH's Role

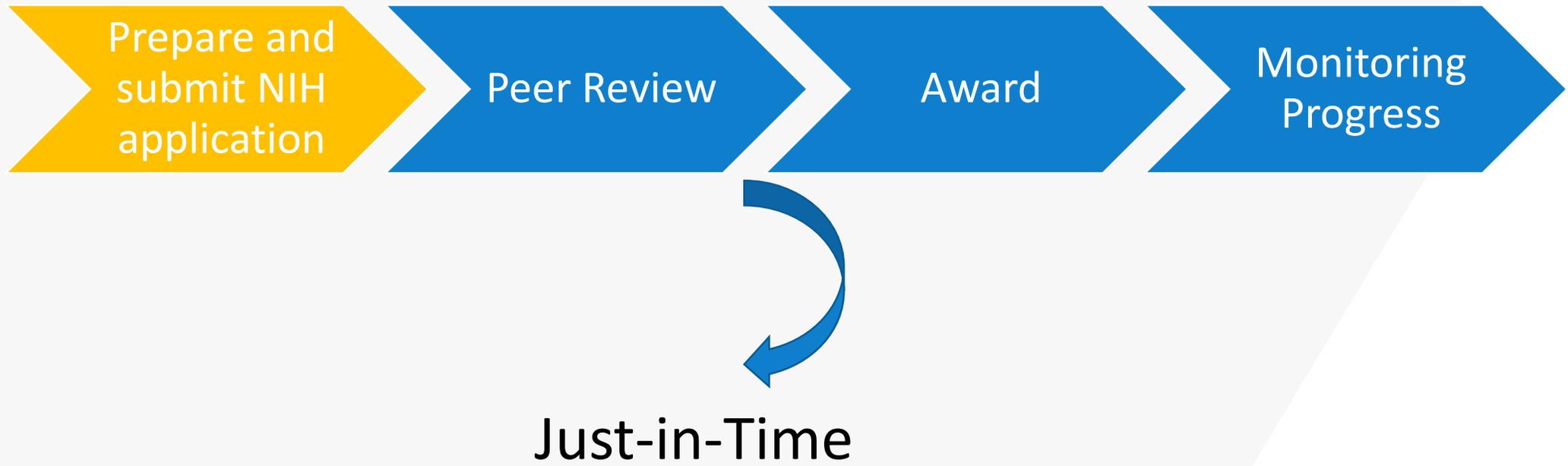
- Evaluate applications/proposals involving human subjects for
  - Risks
  - Adequacy of protections
  - Benefits
  - Importance of knowledge to be gained
- NIH delegates to peer review



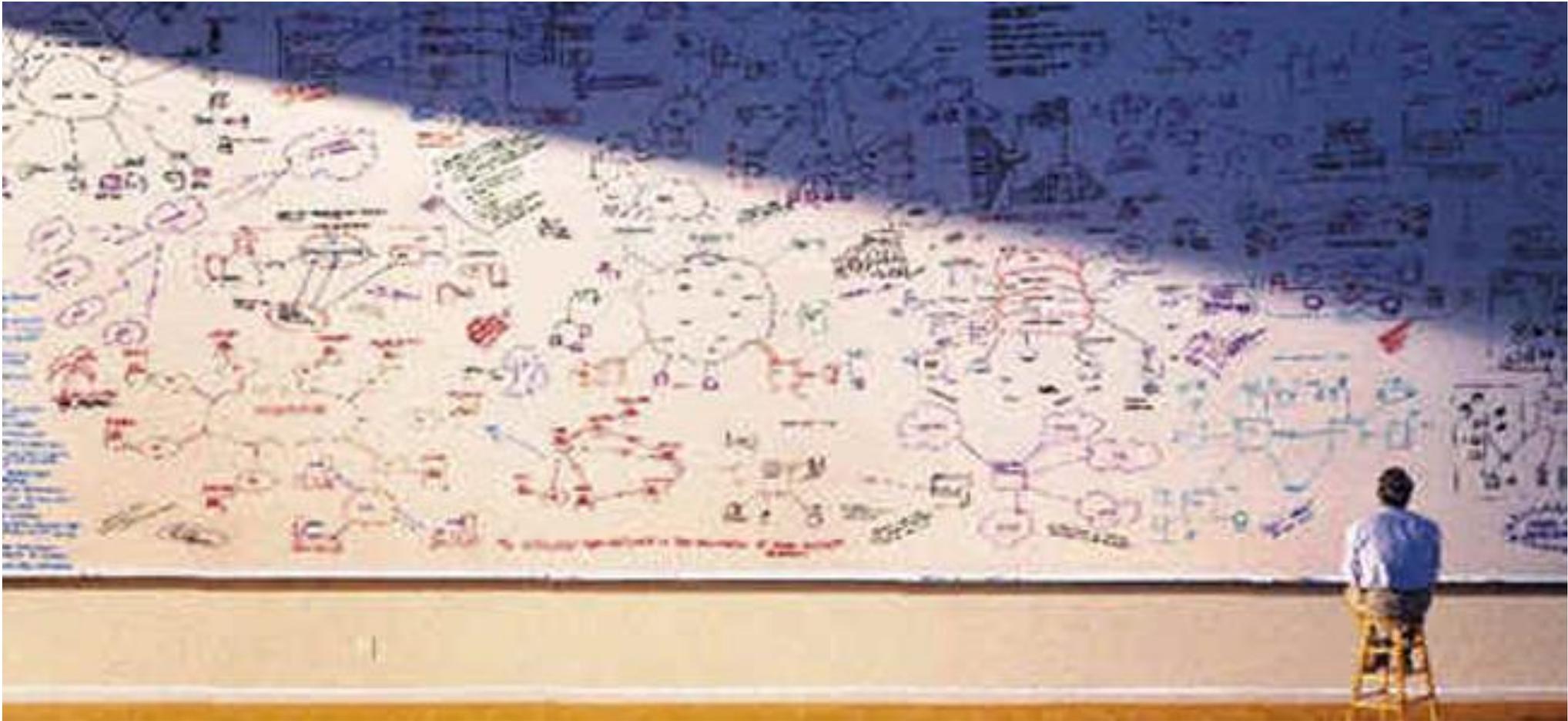
# Human Subjects Research Policies



# Lifecycle of NIH Award



# Applying for NIH Funding



# G.220 - R&R Other Project Information Form

## RESEARCH & RELATED Other Project Information

OMB Number: 4040-0001  
Expiration Date: 10/31/2019

1. Are Human Subjects Involved?

Yes  No

1.a. If YES to Human Subjects

Is the Project Exempt from Federal regulations?  Yes  No

If yes, check appropriate exemption number.  1  2  3  4  5  6  7  8

If no, is the IRB review Pending?  Yes  No

IRB Approval Date:

Human Subject Assurance Number:

Click Yes even if exempt or delayed onset

If exempt, will need to provide a justification in the Protection of Human Subjects section

Exemption 7 & 8 fields available for use for applications submitted on or after January 25, 2019

# Tool to Help Determine if a Study Involves Human Subjects or Meets Criteria for an Exemption

## Infopath Questionnaire

### ✔ Question One

Please check which best describes your research:

- For the purpose of this study, at some point there will be an intervention or interaction with subjects for the collection of specimens or biological material or data (including health or clinical data, surveys, focus groups or observation of behavior).
- This study will involve *only* the use of secondary analysis of biological material/tissue/specimens or data not collected specifically for this study.
- This study will involve materials/specimens or data from deceased individuals *only*.
- My study does not fit any of these categories.

Next

<https://humansubjects.nih.gov/questionnaire>

# PHS Human Subjects and Clinical Trials Information Form

## 1

OMB Number: 4040-0001  
Expiration Date: 10/31/2019

**RESEARCH & RELATED Other Project Information**

1. Are Human Subjects Involved?  Yes  No

1.a. If YES to Human Subjects

Is the Project Exempt from Federal regulations?  Yes  No

If yes, check appropriate exemption number.  1  2  3  4  5  6  7  8

If no, is the IRB review Pending?  Yes  No

IRB Approval Date:

Human Subject Assurance Number:

2. Are Vertebrate Animals Used?  Yes  No

2.a. If YES to Vertebrate Animals

Is the IACUC review Pending?  Yes  No

IACUC Approval Date:

Animal Welfare Assurance Number:

3. Is proprietary/privileged information included in the application?  Yes  No

4.a. Does this Project Have an Actual or Potential Impact - positive or negative - on the environment?  Yes  No

4.b. If yes, please explain:

4.c. If this project has an actual or potential impact on the environment, has an exemption been authorized or an environmental assessment (EA) or environmental impact statement (EIS) been performed?  Yes  No

4.d. If yes, please explain:

5. Is the research performance site designated, or eligible to be designated, as a historic place?  Yes  No

5.a. If yes, please explain:

6. Does this project involve activities outside of the United States or partnerships with international collaborators?  Yes  No

6.a. If yes, identify countries:

6.b. Optional Explanation:

7. Project Summary/Abstract

8. Project Narrative

9. Bibliography & References Cited

10. Facilities & Other Resources

11. Equipment

12. Other Attachments

## 2

OMB Number: 0925-0001  
Expiration Date: 03/31/2020

**PHS Human Subjects and Clinical Trials Information**

Please complete the human subjects section of the Research & Related Other Project Information form prior to completing this form.

The following items are taken from the Research & Related Other Project Information form and displayed here for your reference. Any changes to these fields must be made on the Research & Related Other Project Information form and may impact the data items you are required to complete on this form.

Are Human Subjects Involved?  Yes  No

Is the Project Exempt from Federal regulations?  Yes  No

Exemption number:  1  2  3  4  5  6  7  8

**If No to Human Subjects**

Does the proposed research involve human specimens and/or data?  Yes  No

If Yes, provide an explanation of why the application does not involve human subjects research.

Skip the rest of the PHS Human Subjects and Clinical Trials Information Form.

**If Yes to Human Subjects**

Add a record for each proposed Human Subject Study by selecting 'Add New Study' or 'Add New Delayed Onset Study' as appropriate. Delayed onset studies are those for which there is no well-defined plan for human subject involvement at the time of submission, per agency policies on Delayed Onset Studies. For delayed onset studies, you will provide the study name and a justification for omission of human subjects study information.

**Other Requested Information**

**Study Record(s)**

Attach human subject study records using unique filenames.

**Delayed Onset Study(ies)**

Study Title	Anticipated Clinical Trial?	Justification
	<input type="checkbox"/>	<input type="text"/>
		<input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>

# “No” Human Subjects Involved

- If research involves use of human materials, a justification for “No Human Subjects” designation is needed

## Key Points of Justification

- Material is NOT collected for your proposed research
  - Discuss source (repository, purchased commercially)
- NO investigator has access to ID, including access to code key)
  - Investigator = anyone involved in conduct of the research beyond providing samples/data

Forms-E – PHS HS/CT Info Form;  
specific attachment to explain

# Tips for Writing Explanation of No Human Subjects Involvement

- Address the following in your justification:
  - Source (e.g. repository, purchased commercially)
  - Purpose of collection (for your study? Another study?)
  - Access to identifiers
    - Does anyone on the study team have access, including access to the code key?
    - Describe role of those with access – are they involved in the conduct of research beyond providing samples or data?
- Provide detail so that reader can understand there are NO circumstances in which identify can be determined
  - Avoid vague terminology (e.g. de-identified, anonymized, collection samples w/o identifiers)

**Never  
assume.  
Make sure  
it's in the  
application**

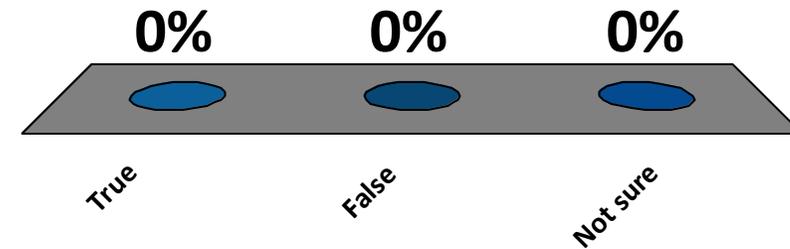


**All applications involving the use of human materials must mark "yes" to human subjects.**

A. True

✓ B. False

C. Not sure



# Main Landing Page

Add 'Study Record' or 'Delayed Onset Study Record' for each study within the application

[Click here to select the Human Subject Study Record Attachment](#)

**Study Record(s)**  
Attach human subject study records using unique names.

[Add Attachment](#) [Delete Attachment](#) [View Attachment](#)

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**Delayed Onset Study(ies)**

	Study Title	Anticipated Clinical Trial?	Justification
		<input type="checkbox"/>	<input type="text"/> <a href="#">Add Attachment</a> <a href="#">Delete Attachment</a> <a href="#">View Attachment</a>

# Delayed Onset

**Delayed Onset:** Human subjects research anticipated but specific plans cannot be described at time of application

**Delayed Start:** Research plans can be described at time of application, but research will not immediately begin (will occur later in the funding period)

# Creating a Delayed Onset Record

- Provide a justification for delayed onset
- Indicate whether a clinical trial is anticipated
- Complete information (e.g. Human Subjects and Clinical Trials Information Form, FWA, IRB approval) required before study begins

Delayed Onset Study(ies)			
	Study Title	Anticipated Clinical Trial?	Justification
		<input type="checkbox"/>	<input type="text"/> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>

Multiple delayed onset studies may be included on one record

# PHS Human Subjects and Clinical Trials Information Form

## Study Record(s)

1. Basic information
2. Study Population Characteristics
3. Protection and Monitoring Plans
4. Protocol Synopsis
5. Other Clinical Trial-related Attachments

Study Record: PHS Human Subjects and Clinical Trials Information

OMB Number: 0925-0001  
Expiration Date: 03/31/2020

*\* Always required field*

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Section 1 - Basic Information

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1.1. \* Study Title (each study title must be unique) CT HS

1.2. \* Is this Study Exempt from Federal Regulations? CT HS  Yes  No

1.3. Exemption Number CT HS  1  2  3  4  5  6  7  8

1.4. \* Clinical Trial Questionnaire CT HS

If the answers to all four questions below are yes, this study meets the definition of a Clinical Trial.

1.4.a. Does the study involve human participants?  Yes  No

1.4.b. Are the participants prospectively assigned to an intervention?  Yes  No

1.4.c. Is the study designed to evaluate the effect of the intervention on the participants?  Yes  No

1.4.d. Is the effect that will be evaluated a health-related biomedical or behavioral outcome?  Yes  No

1.5. Provide the ClinicalTrials.gov Identifier (e.g., NCT87654321) for this trial, if applicable

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HS Required for Human Subjects studies  
CT Required for Clinical Trial studies

# How Many Studies Should My Application Have?

- In some cases how to group or split studies is a judgement call
- Consider:
  - What will be most clear for reviewers
  - Not necessarily based on how aims are separated
  - May be best to group studies if many of the study details are the same between studies

Use a unique (non-numeric) title for each study

# Exemptions

- About 10% of NIH-funded studies involving human subjects are exempt
  - Most common exemptions are currently 1, 2, and 4
- Understand the upcoming changes to the Common Rule when selecting exemptions
  - Applications submitted for due dates on or after January 25, 2019 may select exemptions 7 and 8

# Clinical Trial Questionnaire

Does the study involve human participants?



Are the participants prospectively assigned to an intervention?



Is the study designed to evaluate the effect of the intervention of the participants?



Is the effect that will be evaluated a health-related biomedical or behavioral outcome?

Answers determine:

- ✓ Appropriate FOA type
- ✓ Application form requirements
- ✓ Review criteria for evaluation
- ✓ Requirement for registration and results reporting
- ✓ Requirement for GCP training

If YES to all questions, study is a clinical trial

# Funding Opportunity Announcement (FOA) Policy

- Applications involving clinical trials must be submitted to clinical-trial specific FOAs
- Purpose is to:
  - Improve NIH's ability to identify proposed clinical trials
  - Ensure key pieces of trial-specific information are submitted with each application
  - Uniformly apply trial-specific review criteria

<https://grants.nih.gov/policy/clinical-trials/specific-funding-opportunities.htm>

# Using the Human Subjects and Clinical Trial Form



Form Section	If answered “No” to <u>any</u> questions in Clinical Trial Questionnaire	If answered “Yes” to <u>all</u> questions in Clinical Trial Questionnaire
<b>Section 1</b> Basic Information	Required	Required
<b>Section 2</b> Study Population Characteristics	Required; some fields optional if exemption 4	Required
<b>Section 3</b> Protection and Monitoring Plans	Some fields required; some fields optional	Required
<b>Section 4</b> Protocol Synopsis	Not permitted	Required
<b>Section 5</b> Other Clinical Trial-related Attachments	Not permitted	Required <i>only</i> if specified in FOA

# Inclusion of Women and Minorities in NIH Research

## Public Law (42 U.S. Code § 289a–2) requires:

- Women and minorities be included in all NIH-funded clinical research studies unless there is a compelling rationale for exclusion
- NIH-defined Phase III clinical trials be designed to permit analysis by sex/gender, race and ethnicity
  - Applicable\* NIH-defined Phase III trials awarded December 13, 2017 or later must report results of “valid” analyses to Clinicaltrials.gov. See [NOT-OD-18-104](#)
- NIH to support outreach efforts to recruit and retain women, minorities, and their subpopulations



# Some Clarifications

## NIH-Defined Phase III Clinical Trial

- Studies that evaluate an intervention in large groups of people by comparing the intervention to other standard or experimental interventions.
- Includes drug, device, behavioral interventions, community trials, etc.

## Valid Analysis

- Investigators generally stratify primary outcome by sex/gender and/or race ethnicity
  - Example: Report overall risk ratio, as well as corresponding risk ratios in subgroups
- In most cases, high statistical power not necessary
- Intent is to inform future studies (e.g. use in meta-analysis)

# Inclusion of Children in NIH Research

- Policy applies to applications submitted prior to January 25, 2019
- Children must be included in clinical research studies unless there are scientific or ethical reasons not to do so
- “Children” are currently defined by the NIH as individuals <18 years



# Inclusion Across the Lifespan

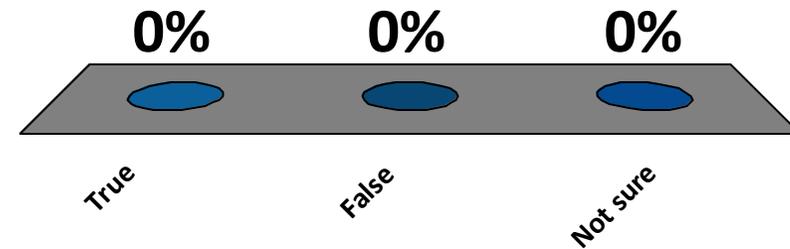
- Revision to Inclusion of Children Policy; effective for applications submitted for due dates on or after **January 25, 2019** (and for contract solicitations and intramural studies issued after that date).
  - See [NOT-OD-18-116](#)
- Requires individuals of all ages be included in NIH human subjects research unless there are scientific or ethical reasons not to do so
- Requires submission of individual-level data on participant age at enrollment in progress reports





**Your study is determined to be an Exemption 1 (research on educational practices). You must still target and monitor inclusion of women, minorities, and children.**

- ✓ A. True
- B. False
- C. Not sure



# Plans for Inclusion of Women, Minorities, and Children

- Make sure you can justify your minimum and maximum age range (enter N/A if none)
- Inclusion should be scientifically appropriate and realistic
  - Consider external validity
  - Every study does not necessarily need to include every group

# Protection of Human Subjects Plan

**For non-exempt human subjects research address:**

## 1. Risks

- Human subjects involvement and characteristics; meets reg requirements for vulnerable populations
- Sources of materials – what, how, access to identifiers
- Potential Risks for ALL research interventions: physical, psychological, social, legal

## 2. Adequacy of Protection Against Risks

- Recruitment; consent
- Procedures to minimize identified risks
- Additional protections for vulnerable subjects



# Protection of Human Subjects Plan

## 3. Potential Benefits of Research to Human Subjects and Others

- Discuss risks in relation to anticipated benefits
- In some cases, there is no direct benefit to subjects
- Do not discuss monetary compensation here

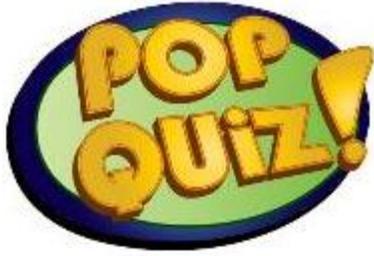
## 4. Importance of Knowledge to be Gained

- Discuss in relation to risks
- **For exempt research, include justification for exemption**



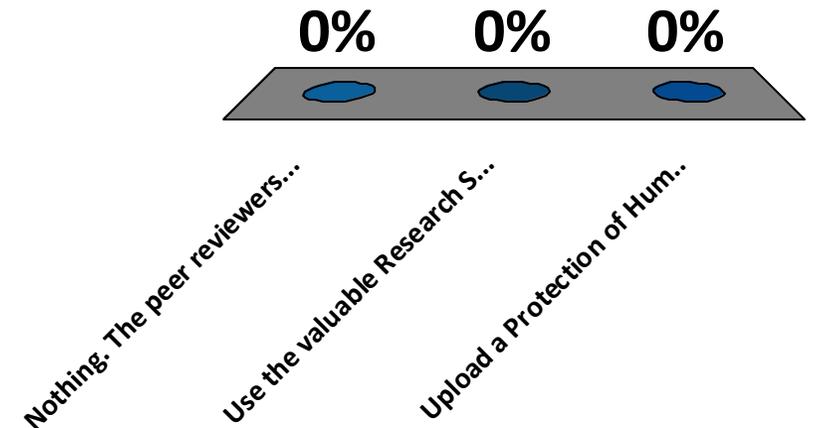
# Protection of Human Subjects Plan

- Protection of Human Subjects Plan
  - Don't assume reviewers will understand what you mean
    - Explain how, what, when, where, why and who
  - Common issues identified in peer review:
    - Vague terminology and/or descriptions: de-identified, anonymized, provider's role
    - Investigator was involved in original data collection or has direct association w/ source
    - Incidental findings not addressed
    - Physical or psychological risks not adequately addressed



**I will use human tissue from 5 different repositories however that's a lot to explain in the Research Strategy of my application. What should I do?**

- A. Nothing. The peer reviewers should figure it out.
- B. Use the valuable Research Strategy section and leave something out if I run out of space.
- ✓ C. Upload a Protection of Human Subjects section and explain all of the sources of my human materials. Refer to this section in the research strategy



# Avoiding Duplicate Information

## Human Subjects/Clinical Trial Form

- **Detailed** study information (e.g., eligibility, inclusion, protection and monitoring plans)

## Research Strategy

- **Overall** strategy, methodology, and analyses of your proposed research
- Encouraged to refer to information from the Human Subjects/Clinical Trial Form
- Do not duplicate information presented in the Human Subjects/Clinical Trial Form

# Single IRB Plan

- Multi-site domestic studies which involve non-exempt human subjects research must use a single Institutional Review Board (siRB)
  - Some exceptions apply (e.g. foreign/tribal sites, Ks, Ts, Fs)
- In this section, explain if you plan to use an sIRB or are requesting an exception
  - Consider sIRB of record, which sites will sign reliance agreement
  - Make sure to consider any potential sIRB costs in budget (even if requesting an exception)

# Data Safety and Monitoring Plans

- Clinical Trials

- Include data safety and monitoring plan
  - Describe overall framework for safety monitoring including:
    - Responsible entity for monitoring (PI, independent safety monitor, etc.)
    - Procedures for reporting adverse events/unanticipated problems
  - Plan should be commensurate with risks
  - Institutes and Centers may have their own policies:  
<https://humansubjects.nih.gov/datasafety>

# Data Safety and Monitoring Board

- Generally required for:
  - Multisite trials with > minimal risk
  - NIH-defined Phase III clinical trials
- Refer to IC policies
  - <https://humansubjects.nih.gov/datasafety>

# Protocol Synopsis

- Required for clinical trials; aligns with Clinicaltrials.gov
- 4.2.d Study Phase
  - Study Phase question uses ClinicalTrials.gov definition of phase
    - Trials involving devices or behavioral interventions should select “Other”
  - NIH-defined Phase III question uses NIH definitions of phase
    - Includes drug and non-drug studies
  - Possible to have a study phase of “Other”, and still answers “Yes” to the NIH-defined Phase III question
- 4.7 Dissemination Plan
  - Brief description of plans to meet expectations of NIH policy

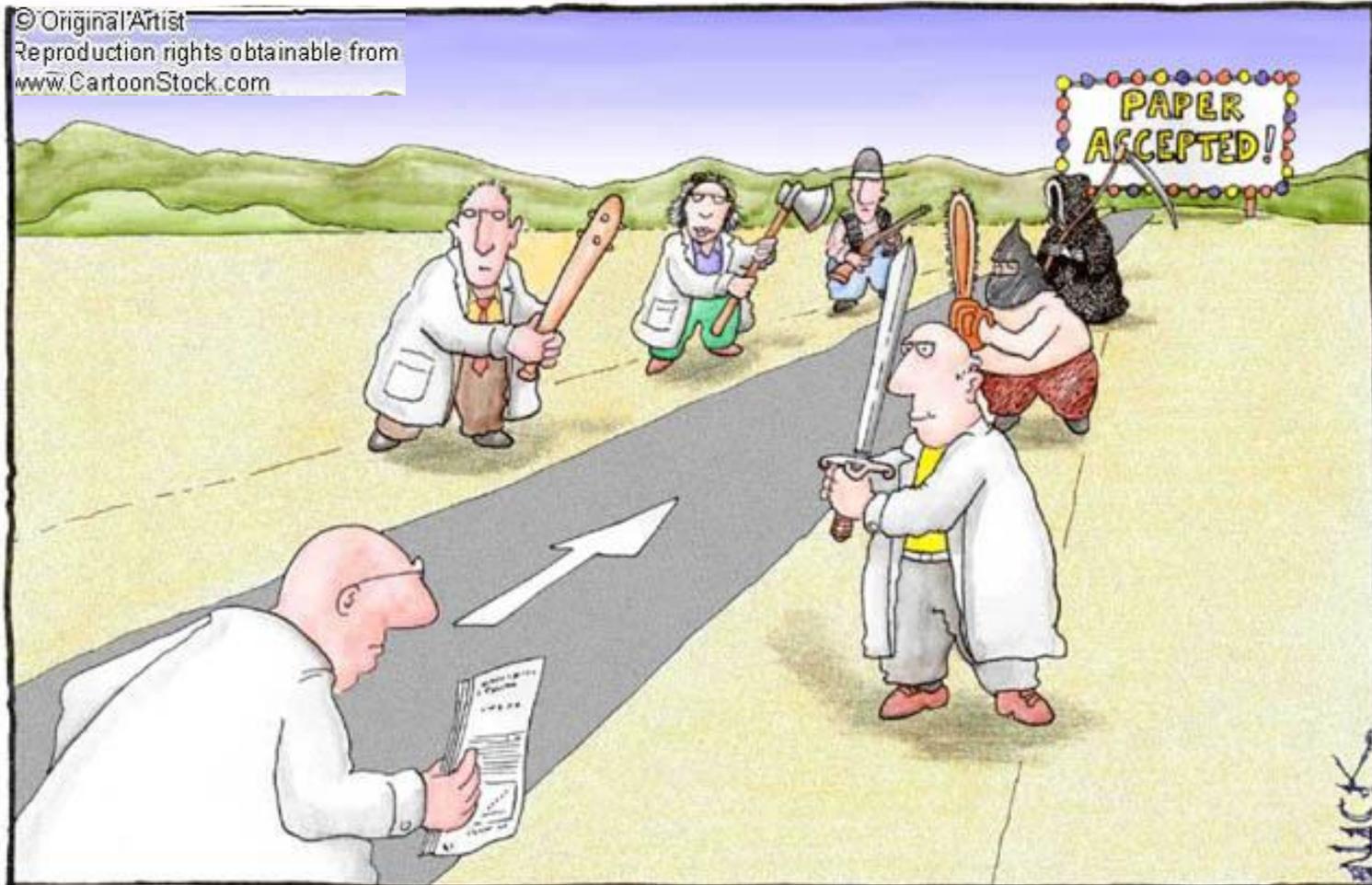
# Trainees & Fellows Proposing “Clinical Trial Research Experience”

- Answer ‘Yes’ to all 4 questions in Clinical Trial Questionnaire
- Complete form Sections 1-3
  - Not permitted to complete Section 4 or 5
- Statement from Mentor or Sponsor:
  - Role of fellow/trainee in proposed clinical trial
  - Source of funding for the trial
  - Mentor’s relevant experience
  - Assurance that the mentor/sponsor will be responsible for the clinical trial

# Lifecycle of NIH Award



# Review of Your Application



Most scientists regarded the new streamlined peer-review process as 'quite an improvement.'

# Peer Review

- Evaluate scientific & technical merit of the application
- Considered in the overall score:
  - Human subjects section (including DSMP)
    - Reviewers identify any concerns
  - Inclusion of Women, Minorities, and Children/Individuals Across the Lifespan
    - Acceptable or Unacceptable rating for Gender, Minority and Children/Age plans
- sIRB plan not considered in overall score



# How is Human Subjects Section Evaluated?

- **Each reviewer will assess human subjects protections**
  - Is the designation correct?
  - Are 4 points addressed?
  - For clinical trial: appropriate DSMP?
  - Written comments in summary statement
- **Peer review group will discuss and include comments about any concerns**
- **Administrative codes in Summary Statement**

# Common Inclusion Concerns

- **Inadequate information describing the sex/gender, race, ethnicity, and/or age(s) of the sample**
- **Inadequate justification for proposed sample**
  - **Sex/gender, race, ethnicity, and/or age(s) breakdown not appropriate for the scientific goals of the study or not adequately justified**
- **Unrealistic sampling**
  - **Appropriate from scientific perspective but not realistic**
    - **Collaborations and outreach plans may help**

## SUMMARY STATEMENT

**PROGRAM CONTACT:**  
08/11/2016  
Ann Hardy  
240 111-5555  
hardyan@od.nih.gov

(Privileged Communication)

*Release Date:*

**Application Number: 1 R01 IC12345-01**

**Principal Investigator**  
DOE, JOHN

**Applicant Organization: ABC SCHOOL OF MEDICINE**

**Review Group: ZRG1 ABC-D(50)**  
Center for Scientific Review Special Emphasis Panel  
US-Canada Program for Collaborative Biomedical Research

**Meeting Date: 07/20/2016**  
**Council: OCT 2016**  
**Requested Start: 12/01/2016**

**RFA/PA: IC16-006**  
**PCC: M51B B**

**Project Title: An Excellent Research Project**

**SRG Action: Impact Score: 24**  
**Next Steps: Visit [http://grants.nih.gov/grants/next\\_steps.htm](http://grants.nih.gov/grants/next_steps.htm)**  
**Human Subjects: 30- Human subjects involved – no SRG concerns**  
**Animal Subjects: 30-Vertebrate animals involved - no SRG concerns noted**  
**Gender: 1A-Both Genders, scientifically acceptable**  
**Minority: 5A-Only foreign subjects, scientifically acceptable**  
**Children: 1A-Both Children and adults, scientifically acceptable**  
**Clinical Research - not NIH-defined Phase III Trial**

HS Code	Meaning
10	No HS
30	Non-exempt HS
48	SRG concerns
E1-E7	Exemption

# SUMMARY STATEMENT

(Privileged Communication)

Release Date: 08/11/2016

**PROGRAM CONTACT:**

Ann Hardy  
240 111-5555  
hardyan@od.nih.gov

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*Application Number: 1 R01 IC12345-01*

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*Next Steps: Visit [http://grants.nih.gov/grants/next\\_steps.htm](http://grants.nih.gov/grants/next_steps.htm)*

Human Subjects: **48**-Human subjects involved - SRG concerns

Animal Subjects: 30-Vertebrate animals involved - no SRG concerns noted

Gender: **4U**-Gender representation unknown, scientifically unacceptable

Minority: 5A-Only foreign subjects, scientifically acceptable

Children: **4U**-Child representation unknown scientifically unacceptable

Clinical Research - not NIH-defined Phase III Trial

# Lifecycle of NIH Award



Just-in-Time

# Just-in-Time Requirements

## After peer review, during just-in-time period:

- Provide Institution's OHRP Federal-wide Assurance Number (FWA)
- Certify:
  - IRB approval (or exemption)
  - Human subjects education for key personnel
  - GCP training for clinical trials
    - <https://gcplearningcenter.niaid.nih.gov/Pages/default.aspx>

# Good Clinical Practice Training (GCP)

- All NIH-funded clinical investigators and clinical trial staff involved in the design, conduct, oversight, or management of clinical trials should be trained in GCP
- GCP training can be achieved through :
  - ✓ class or course
  - ✓ academic training program
  - ✓ certification from a recognized clinical research professional organization
- Training should be refreshed every 3 years

Learn more at <https://grants.nih.gov/policy/clinical-trials/good-clinical-training.htm>



# Multi-site Study Considerations

- Generally funding recipient is considered to be engaged in HS research
- All engaged sites must have:
  - FWA (can be covered under recipient's FWA)
    - <http://www.hhs.gov/ohrp/policy/guidanceonalternativetofwa.html>
  - IRB Approval
    - Sites to rely on one IRB
      - Written agreement <http://www.hhs.gov/ohrp/assurances/forms/irbauthagree.html>

# Just-in-Time Requirements

- Work with Institute/Center staff to resolve unacceptable inclusion concerns

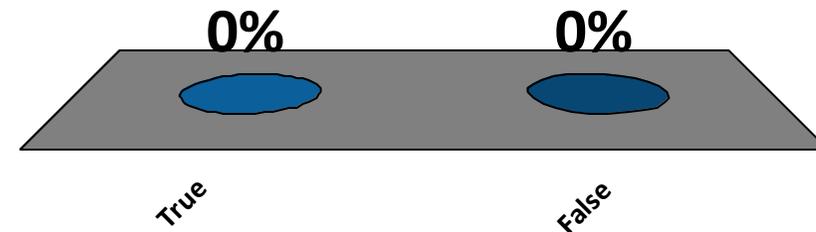
**Gender: 2A-Only women, scientifically acceptable**  
**Minority: 1A-Minorities and non-minorities, scientifically acceptable**  
**Children: 1U-Both children and Adults, scientifically unacceptable**  
**Clinical Research - not NIH-defined Phase III Trial**

- Provide inclusion enrollment report(s) if missing or needs updated as a result of peer review and/or programmatic adjustments

# IRB Approval is Required before an NIH Grant Can be Funded

✓ A. True

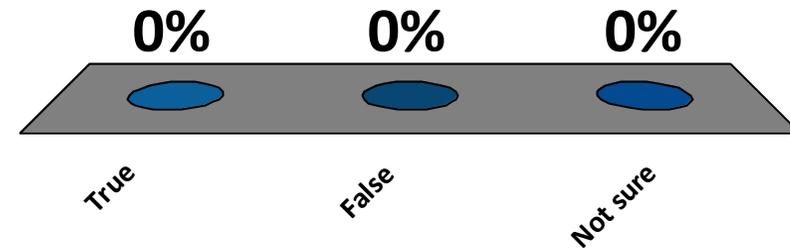
B. False





# A Phase I clinical trial will need a Data and Safety Monitoring Plan (DSMP).

- ✓ A. True
- B. False
- C. Not sure



# Lifecycle of NIH Award





# After the Award... Now What?



- Comply with DHHS/institutional requirements for:
  - Annual IRB approval
  - Adverse Event/Unanticipated Problem Reports – within specified time frame
- Keep in mind you are expected to follow NIH single IRB policy if site is added



# After the Award... Now What?



- **Prior NIH Approval for changes in human subjects research that increase risk**
  - Changes from no to yes for HS or increase risks
  - Discuss plans with NIH PO *before starting*
  - Provide required information on Human Subjects and Clinical Trial Information Form
- Discuss any planned changes w/ funding IC prior to start NOT-OD-15-128

# After the Award...Now What?

- Provide **actual inclusion enrollment data** in progress reports
  - If application submitted for due dates on or after January 25, 2019, provide participant-level data on sex/gender, race, ethnicity and age at enrollment
- For NIH-defined Phase III Clinical Trials – **report status/results of analyses** by sex/gender, race, and ethnicity
  - For applicable NIH-defined Phase III Clinical Trials, report results by sex/gender and/or race/ethnicity in Clinicaltrials.gov within 1 year of primary completion date

# Certificates of Confidentiality (CoC)

- What is a Certificate of Confidentiality?
  - Prohibits disclosure of names or information, documents, or biospecimens containing identifiable sensitive information
- As a result of 21<sup>st</sup> Century Cures Act:
  - To persons not connected to the research
  - In any Federal, State, or local civil, criminal, administrative, legislative, or other proceeding, (unless with participants' consent)
  - For any other purpose, with some exceptions
- See ([NOT-OD-17-109](#)) published September 7, 2017



# Key Changes to Certificates of Confidentiality

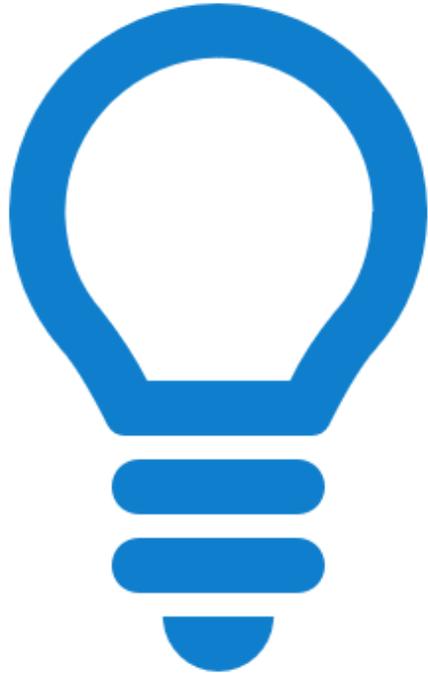
Issue	Previous Authority	Current Authority
How to get one	Issued upon approval of application	<ul style="list-style-type: none"> <li>NIH-funded – <b>automatic</b></li> </ul>
Disclosure	PI/ Institution could voluntarily disclose	Disclosure is prohibited unless specifically allowed by statute or with consent
Admissibility as evidence	Information protected by a CoC could be used in a legal proceeding if disclosed	Protected information cannot be used in a legal proceeding even if it is disclosed elsewhere
Copies of information	Unclear; typically advised to amend or extend	All information, including copies, is protected

# What Makes a Good Human Subjects Section?

One that follows the applicable instructions and provides the required information 😊

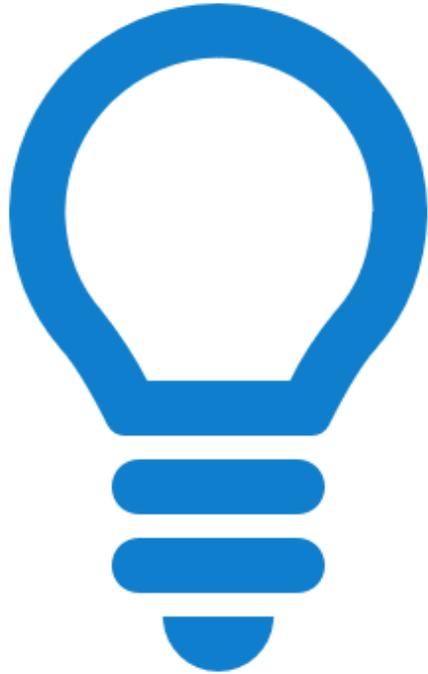


# Useful Resources: Human Subjects Protections and Inclusion



- **NIH OER Human Subjects Website**  
<https://humansubjects.nih.gov>
- **Certificates of Confidentiality**  
<https://humansubjects.nih.gov/coc/index>
- **Single IRB Policy** <https://osp.od.nih.gov/clinical-research/irb-review/>
- **Inclusion of Women and Minorities**  
[https://grants.nih.gov/grants/funding/women\\_min/women\\_min.htm](https://grants.nih.gov/grants/funding/women_min/women_min.htm)
- **Inclusion Across the Lifespan**  
<https://grants.nih.gov/grants/funding/lifespan/lifespan.htm>

# Useful Resources: Clinical Trials



- **Clinical Trials Requirements website:**  
<https://grants.nih.gov/policy/clinical-trials.htm>
- **Clinical Trial FAQs:**  
<https://grants.nih.gov/policy/clinical-trials/faq-list.htm>
- **Video overview of Human Subjects and Clinical Trials form:**  
[https://www.youtube.com/watch?v=nz9NWFhYOG8&list=PL0EUwSnjvqBJeHcb4yai7\\_fDnFZFPEmQK&index=1](https://www.youtube.com/watch?v=nz9NWFhYOG8&list=PL0EUwSnjvqBJeHcb4yai7_fDnFZFPEmQK&index=1)

# Questions

