



# Situation: Grant Reviews

## Waiver Options



### Proposed reviewer **may not be on the study section** if :

- The reviewer is named on the application in a major professional role
- The reviewer is a member of an NIH Advisory Council
- The reviewer (or close family member) would receive a direct financial benefit if the application is funded

**A waiver to serve on the study section will be considered only under exceptional circumstances**



### Proposed reviewer **may be on the study section** but **may not review certain applications and must leave the room when:**

- The PI or others on the application with a major role are from the reviewer's institution or institutional component (e.g., department)
- Within in the past three years, the reviewer has been a collaborator or has had any other professional relationship (e.g., served as a mentor) with any person on the application who has a major role
- The application includes a letter of support or reference letter from the reviewer
- The reviewer serves as a member of the advisory board for the project under review
- The reviewer has an indirect financial interest from the applicant institution or PD/PI of over \$10,000 in honoraria, stocks, and fees during the course of the last year or during the project period

**A waiver to review the application may be considered**



### Proposed reviewer **may be on the study section** and **may review specific applications without a waiver if: (not considered COIs)**

- An application originates from an institution where the reviewer has collaborators, but the reviewer's collaborators are not listed on the application
- The reviewer has an indirect financial interest of less than \$10,000
- The reviewer freely donates reagents or other materials to the proposed project, and these reagents or materials would also be available to other researchers
- The reviewer, as well as a person with a major role on the proposed project, contributes data, reagents, specimens, etc., to the same repository or database
- The reviewer is a member of a research network that involves a person with a major role on the proposed project
- The reviewer is a co-author of a non-research publication (e.g., review, commentary) or a mega-multi-authored publication with a person with a major role on the proposed project.

**No waivers needed**

**Note: A Federal employee serving as an NIH peer reviewer is responsible for obtaining any clearance required by his employing institute, agency, or office.**

