



Situation: Contract Reviews

Waiver Options



Proposed reviewer **may not be** on the technical evaluation panel if:

- The reviewer is named on the proposal in a major professional role
- The reviewer (or close family member) would receive a direct financial interest if the proposal is funded
- The reviewer is employed by the offerer institution

A waiver to **serve on the technical evaluation panel** is **never allowed**



Proposed reviewer **may not be** on the technical evaluation panel if:

- The reviewer is from an institution that is included as a subcomponent of the proposal
- Within in the past three years, the reviewer has been a collaborator or has had any other professional relationship (e.g., served as a mentor) with any person on the proposal who has a major role
- The proposal includes a letter of support or reference letter from the reviewer
- The reviewer serves as a member of the advisory board for the project under review
- The reviewer has an indirect financial interest from the offerer institution or person with a major professional role of over \$10,000 in honoraria, stocks, and fees during the course of the last year or during the contract period

A waiver to **serve on the technical evaluation panel** **may be considered**



Proposed reviewer **may be** on the technical evaluation panel and **may review the proposal if: (not considered a conflict)**

- A proposal originates from an institution where the reviewer has collaborators, but the reviewer's collaborators are not listed on the proposal
- The reviewer has an indirect financial interest of less than \$10,000
- The reviewer freely donates reagents or other materials to the proposed project, and these reagents or materials would also be available to other researchers
- The reviewer, as well as a person with a major role on the proposed project, contributes data, reagents, specimens, etc., to the same repository or database
- The reviewer is a member of a research network that involves a person with a major role on the proposed project
- The reviewer is a co-author of a non-research publication (e.g., review, commentary) or a mega-multi-authored publication with a person with a major role on the proposed project.

No waivers needed

Note: A Federal employee serving as an NIH peer reviewer is responsible for obtaining any clearance required by his employing institute, agency, or office.

