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| **REQUIRED FCOI REPORTS TO BE PROVIDED TO NIH THROUGH eRA COMMONS FCOI MODULE** | | |
| **REPORT** | **CONTENT** | **REQUIRED WHEN?** |
| New FCOI Report (Initial submission | Grant Number, PI, Name of Entity with FCOI, Nature of FCOI, Value of financial interest (in increments), Description of how FI relates to research, Key Elements of Management Plan. | 1. Prior to expenditure of funds 2. Within 60 days of any subsequently identified FCOI |
| Annual FCOI Report | Status of FCOI (i.e., whether FCOI is still being managed or no longer exists) and Changes to Management Plan, if applicable. | Annual report due at the same time as when the Institution is required to submit annual progress report, multi-year progress report, or at time of extension. |
| Revised FCOI Report | If applicable, update a previously submitted FCOI report to describe actions that will be taken to manage FCOI going forward or make changes to originally submitted FCOI report. | Following the completion of a retrospective review when there is noncompliance with the regulation, if needed. |
| Mitigation Report | Project Number, Project Title, Contact PI/PD, Name of Investigator with FCOI, Name of Entity with FCOI, Reason for review, Detail Methodology, Findings and Conclusion. | When bias is found as a result of a retrospective review. |